

Audit and Governance Committee

Meeting to be held on Monday, 26 September 2016

Electoral Division affected:
(All Divisions);

Risk and Opportunity Register

(Appendix A)

Contact for further information:

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Executive Summary

This report provides an updated (Quarter 2) Risk and Opportunity Register for the Committee to consider and comment upon.

Recommendation

The Committee are asked to note the updated Quarter 2 Risk and Opportunity Register at Appendix A.

Background and Advice

Following the corporate approach to reporting on risk and opportunity the quarter 2 Risk and Opportunity register was recently reported to Management Team. Following this the reports are presented to the Cabinet Committee on Performance Improvement (CCPI) and then to the next Audit and Governance Committee. However, given that the last CCPI meeting was cancelled the register will be reported to the next meeting in October. An updated Risk and Opportunity Register is attached at Appendix A and the Committee is asked to comment upon it.

The key highlights in the register include:

- allowing for mitigating actions, the residual risk score for the following entries remain 12 or above so the issue remains on the register:

Risk Identification Number (RIN)	Risk Description & Update
CR1	Failure to implement the county council's MTFs. Further mitigating actions include the work being undertaken by PWC; further communications; support from the Programme Office and an update on the 15/16 outturn.
CR2	Risk to the on-going financial viability of the county council. Further mitigating actions include a meeting with DCLG, work on developing the councils operating model, communications and an update on the 15/16 outturn position.
CR4	Delivering Organisational Transformation. Further mitigating actions include reporting on the Property Strategy and the publication of further information about the transformation.
CR5	Inability to adequately protect and safeguard children. Further mitigation actions include the CIN Ofsted inspection early September and a review of the referral process.
CR6	Failure to comply with statutory requirements and duties relating to CLA, children in need and children leaving care. Further actions include the CIN Ofsted inspection.
CR7	Failure to recruit and retain experienced staff within Children's services. Additional vacancies are being filled.
CR8	Reputational damage and risk of direct intervention by DFE. Additional posts established.
CR12	Inability to implement/maintain systems that produce effective management information. The operational failure in the main computer suite has been added to this risk.
CR15	Delivering new waste management arrangements – Budget Option Proposal (BOP) 046 and GRLOL transformation. There is a potential for increased diversion from landfill but costs pressures elsewhere could lead to an over spend.
CR16	Management of the County Council's assets. Property Strategy consultation presented to cabinet September 16
CR20	Transforming care (Winterbourne). Ongoing discussions with CCG about pooled budgets
CR21	Service user/customer risk associated with the inability to influence behaviour change in demand and expectations continue to rise. Further communications to ensure stakeholders understand the council's position.
CR24	Failure to achieve targets with National Troubled Families Unit. No change
CR25	Failure to implement and meet the statutory requirement to children and young people with special educational needs and/or disabilities No change
CR26	Proposed museums closures. Heritage lottery funding application
CO1	Developing a new model for public service delivery in Lancashire. Further maximising actions added and scores reassessed. Through the shadow Combined Authority, work programmes being developed.
CO2	Delivering economic growth. Submitted growth deal 3
CO3	Opportunities through delivering the corporate strategy and property strategy. Property Strategy consultation presented to cabinet September 16
CO4	Health & Social Care Integration. Further work is being undertaken on the Sustainability & Transformation Plan (STP)

There are no deletions or additions to this quarters register.

Consultations

N/A

Implications:

This item has the following implications, as indicated:

Risk management

Good governance enables an authority to pursue its vision effectively as well as underpinning that vision with sound arrangements for control and management of risk. An Authority must ensure that it has a sound system of internal control which includes effective arrangements for the management of risk. Failure to develop and maintain a Corporate Risk & Opportunity Register means the Council would be negligent in its responsibilities for ensuring accountability and the proper conduct of public business.

Local Government (Access to Information) Act 1985 List of Background Papers

Paper	Date	Contact/Tel
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N/A

Reason for inclusion in Part II, if appropriate

N/A